



Ohio Department of Commerce - Division of Liquor Control
6606 Tussing Road, P.O. Box 4005
Reynoldsburg, Ohio 43068-9005
<http://www.com.ohio.gov/liqr>

Office hours - 8:00am to 5:00pm
For Questions call (614) 644-3156

ECONOMIC DEVELOPMENT (Trex) TRANSFER FORM

Ohio Revised Code 4303.29 allows for the transfer of location or the transfer of ownership and location of a C-1, C-2, D-1, D-2, D-3, or D-5 permit from municipal corporation or the unincorporated area of a township to an economic development project located in another municipal corporation or the unincorporated area of another township in which no additional permits of that class may be issued to the applicant under the permit quota. However the transfer may occur only if the applicant notifies the municipal corporation or township to which the location of the permit will be transferred regarding the transfer and the municipal corporation or township acknowledges in Section B of this form OR in writing to the Division of Liquor Control, that the transfer will be to an economic development project. A permit may be transferred to a different owner at the same location, or to the same owner or a different owner at a different location in the same municipal corporation or in the unincorporated area of the same township. NOTE: The statute requires the applicant to provide the endorsement by the municipal corporation or township at the time the application for the transfer is filed with the division, therefore once Section B is completed return this form to the applicant so they may attach this information to their transfer application.

Seller(s) - Current Permit Holder - (Individual, Corp., LLC or Partnership)
Break Bread LLC

Buyer(s) - Prospective Permit Holder - Name & address
Individual, Corporation, LLC or Partnership
The Neighborhood Tavern LLC, 1385 E
Johnstown Rd., Gahanna OH 43230

Permit Number: 0927915

CHECK Class(es) of Permit(s) Being Trex Transferred:

☐ C-1 ☐ C-2 ☐ C-2X ☒ D-1 ☒ D-2 ☐ D-2X ☒ D-3 ☒ D-3A ☐ D-5 ☒ D-6

SECTION A: (To be completed by the Applicant)

NOTE: Section A is for you to provide information to the local legislative authority (City, Village or Township Office) in which this Economic Development Project (Trex) will be located. In addition to the below information, you may be required to provide a projected earnings statement (brand new business), or a profit and loss statement (existing business), and a copy of building plans/drawings outlining any construction plans. The Division will also use this information to determine if you qualify and meet the criteria outlined under Section 4303.29(B)(2)(b).

1. The total amount invested in this project is \$ 200,000.00

2. The total number of jobs that will be created by this economic development project is 15-20

3. Existing or Estimated Tax Revenue generated by this project is:
(Type or print on the lines provided the type of tax & amount, i.e.,
Sales Tax, Property Tax, Unemployment Tax, etc.)

Sales Tax \$ 40,000
Unemployment Tax \$ 2500
City Holding Tax \$ 10,000
\$

On behalf of the applicant as indicated above I am signing below and certifying that all the information provided with this application is complete and accurate to the best of my knowledge.

JAMES P. DAWSON II

[Signature]

Print or Type Name

Signature

12/15/16

614-212-3202

OWNER

Date

Phone Number

Title

SECTION B: (The applicant MUST have this Section completed by the City, Village or Township Office in which this Economic Development Project (Trex) will be located. This form MUST be returned to the applicant to accompany the transfer application).

Based upon the factors outlined above, the City/Village/Township of _____ hereby endorses
(City, Village or Township Name)
and acknowledges that this transfer will be to an economic development project.

Print or Type Name

Signature of Mayor, Legislative Office Holder or Law Director

Date

Phone Number

Title (e.g., Mayor, Clerk of City Council, Fiscal Officer or Law Director)



Department of Commerce

Division of Liquor Control
John R. Kasich, Governor
David Goodman, Director

WHAT IS AN ECONOMIC DEVELOPMENT TRANSFER – (TREX)?

A TREX Transfer may be applied for if you are unable to obtain a New Liquor Permit through the Quota System because there are no permits available or the number of applicants on file exceeds the openings available in that city/township in which you want to locate the permit, or you are unable to do a regular transfer of ownership and location (not a TREX) of a permit class.

As of March 22, 2012, you may TREX transfer the ownership and location of someone else's permit, OR transfer location only of a permit from anywhere in the state as long as you are able to provide the required information in Section A of Page 2 of the TREX Application. Please note that when completing the information in Section A that the statute does not specify any benchmark for the Division to approve or deny your TREX Application. The figures/information you provide in Section A is information for the City/Village or Township to which you are transferring the permit to, to review and determine if they are willing to endorse your TREX Project, in Section B of the TREX Application.

Before beginning the TREX process, please make certain you are aware of the following:

- 1) The acquisition of someone else's liquor permit must be done pursuant to Ohio Administrative Code 4301:1-1-14. This rule states in part that a transfer must be in conjunction with a bona fide sale of the business or assets of such permit holder. Therefore, you need to be able to pay a purchase price established by the seller.
- 2) You must be able to complete Section A on the TREX Application; and acquire the signature of the Mayor, City Council member, law director or someone of authority in Section B indicating their endorsement of the Economic Development TREX Transfer Project where you are transferring the permit to.

Note: The Division of Liquor Control does NOT have a list of permit businesses for sale. The only list available of potential businesses that may or may not want to sell is the Division's Safekeeping List (Businesses that have closed and ceased operation). You may view the safekeeping list on our website.

Once you have found a license to transfer as an economic development project ("TREX"), you are then ready to file the TREX Application. This packet includes the appropriate documents that must be filed with your TREX Application depending on the type of transaction you are doing.

If you properly complete the TREX Application and submit it with the appropriate Transfer Application, it typically takes 3-4 days to determine if your TREX will be approved. If approved, the Transfer Application will then go into process, which takes about 10-12 weeks.

After reviewing the above, should you have any questions regarding the TREX process, please feel free to contact Kelly Poling Ables at (614) 644-2496.

Revised 8/24/2012